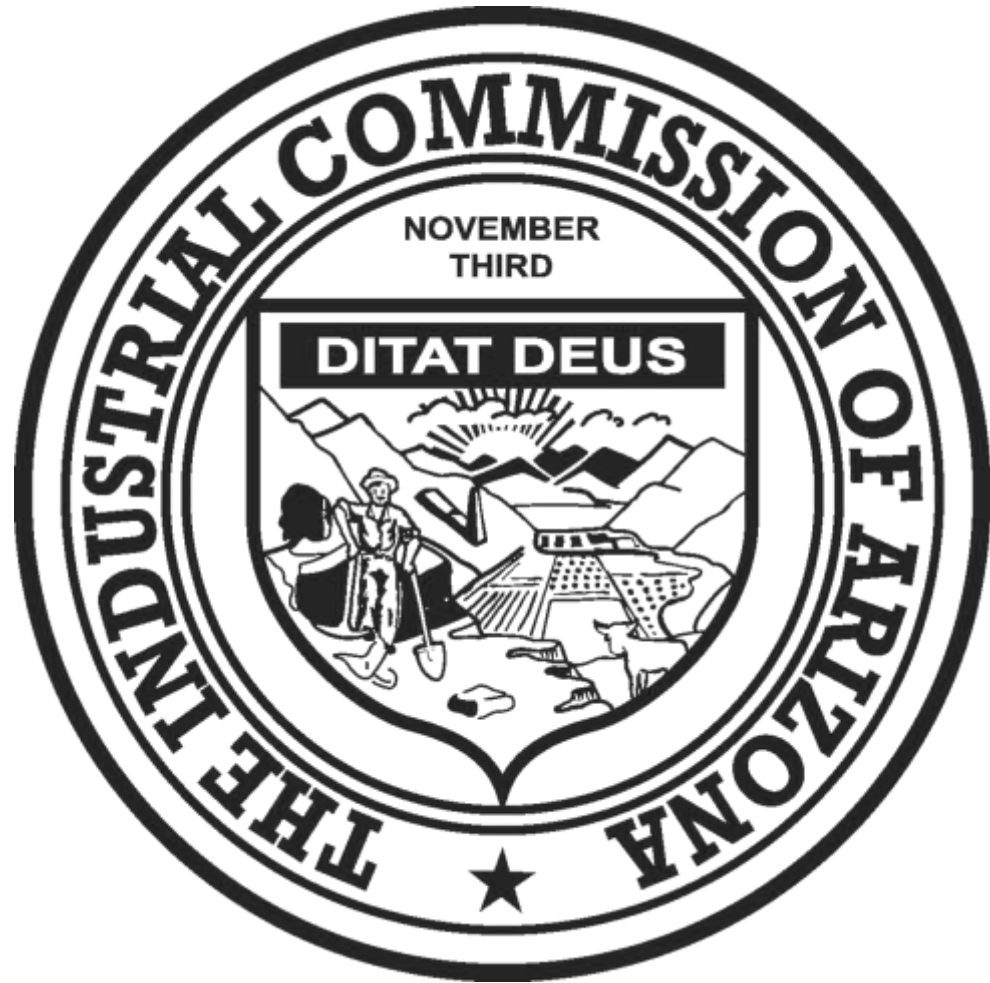


# Claims Adjusting Overview

Presented By:

Ruby Tate, Claims  
Manager



# Agenda

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- Overview of the ICA Claims Division Role
- WC 101
- Solicitations

# ICA Claims Division Role

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2020 SEMINAR MANUAL, CLAIMS ADJUSTING



## CONTACT INFO

**Ruby Tate, Claims Manager**  
**Melissa Smith, Ombudsman**

**Phoenix Office:**  
800 W. Washington St.  
Phoenix, AZ 85007  
**Phone:** (602) 542-4661

**Tucson Office:**  
2675 East Broadway  
Tucson, AZ 85716  
**Phone:** (520) 628-5181

**FAX :** (602) 542-3373

**General Questions:**  
[Claims@azica.gov](mailto:Claims@azica.gov)

[Claims Forms](#) 

ADOSH

# Claims Division

*Serving the workers' compensation community by processing claims efficiently and effectively.*



## WORKERS' COMPENSATION INFORMATION

- [Workers' Compensation for the Injured Worker](#)
- [Employers' Frequently Asked Questions about Workers' Compensation](#) 
- [Gradual Injury](#)
- [Independent Medical Evaluations](#)
- [Permanent Impairment or Loss of Earning Capacity](#)
- [Loss of Job](#)
- [Uninsured Employers](#)
- [Vocational Rehabilitation \("Voc Rehab"\)](#)
- [Suspension of a Claim](#)
- [Exposure to Bodily Fluids](#)



## CLAIMS RESOURCES

- [Average Monthly Wage Statutory Maximum](#)
- [Workers' Compensation Coverage Verification](#)
- [Table of Authorized Self-Insured Employers](#) 
- [Table of Authorized Self-Insured Employers That Direct Medical Care](#) 
- [Claims Division Annual Processing Statistics](#)
- [Commission Ombudsman](#)
- [Present Value Tables](#)



## ICA COMMUNITY

- [ICA Community](#) 
- [Join ICA Community](#) 
- [Community Instructions and Resources](#)

Visit our Web

<https://www.azica.gov/divisions/claims-division>

# Claims Division does...

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- Issue legal Claim Notifications new claims to the carrier to accept or deny within 21 days
- Maintains the official Claims File, provides access upon request via Community or physical copies
- Reviews and issues awards related to Facial Scarring, Change of Doctors, Leave the State, Guardian ad Litem, Average Monthly Wage, Loss of Earning Capacity Awards, Bad Faith and Unfair Claims Handling, Petition for Rearrangement, etc.
- Review and approve referrals to ALJ for request for hearings and 1061(J).
- Audits notices to ensure they are legally compliant, including 104, 106, 107, etc.
- Hosts Monthly Out of State Adjuster training and post-test and annual claims seminar
- Ombudsman assistance for complex questions or issues for all parties (cannot give legal advice but can provide applicable laws).
- Has specialized staff available via email and phone in Claims, LEC, Average Monthly Wage, Ombudsman, Insurance matching for notifications issues or change/combine/delete questions.

# The role of the Claims Division...

---

We are here to help all interested parties to a claim.

○ If you don't hear from us, the adjuster is following industry best practices!

- The adjuster is accepting the claim with a legally compliant 104 instead of waiting to be notified. We generally do not notify if the claim has already been accepted.
- The adjuster are issuing a 108 with complete & correct calculations and appropriate 104. Claims Division issues a 109
- If the claim closes with permanent impairment, you've established the wage and provided all appropriate closing notices & medical reports.

THANK YOU TO the SUPERSTARS FOLLOWING THESE BEST PRACTICES!

# Claims Adjusting Overview

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2020 SEMINAR MANUAL, CLAIMS ADJUSTING

# The Beginning

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HOW A CLAIM IS NOTIFIED



20. CLASS CODE ON PAYROLL REPORT	21. EMPLOYEE'S AS
24. ADDRESS OR LOCATION OF ACCIDENT	
25. WHAT WAS THE INJURY OR ILLNESS? Tell us the part of the body that was	
26. PART OF BODY INJURED	
29. WAS EMPLOYEE TREATED IN AN EMERGENCY ROOM?	NAME OF PHYSICIAN
<input type="checkbox"/> YES <input type="checkbox"/> NO	
30. WAS EMPLOYEE HOSPITALIZED OVERNIGHT AS AN IN-PATIENT?	IF HOSPITALIZED
<input type="checkbox"/> YES <input type="checkbox"/> NO	
31. IF VALIDITY OF CLAIM IS DOUBTED, STATE REASON	
CAUSE OF ACCIDENT	32. WHAT HAPPENED? Tell us how the injury occurred. Example: "developed soreness in wrist over time."
33. WHAT OBJECT OR SUBSTANCE DIRECTLY HARMED THE EMPLOYEE	
34. WHAT WAS EMPLOYEE DOING JUST BEFORE THE INCIDENT OCCURRED? Example: "roofing materials"; "spraying chlorine from hand sprayer"; "daily computer key-entry."	
35. IF ANOTHER PERSON NOT IN COMPANY EMPLOY CAUSED ACCIDENT	
EMPLOYEE'S WAGE DATA	36. WAS WORKER IN YOUR EMPLOY WHEN INJURED?
	<input type="checkbox"/> YES <input type="checkbox"/> NO
IMPORTANT	37. HOURS PER DAY EMPLOYEE WORKED
	IF WORK LOSS IS EXPECTED TO EXCEED SEVEN CALENDAR DAYS, COMPLETE ITEMS 40 THRU 47

<b>EMPLOYER'S REPORT OF INDUSTRIAL INJURY</b> COMPLETE AND MAIL THIS REPORT WITHIN 10 DAYS FROM NOTICE OF ACCIDENT. FATALITIES MUST BE REPORTED WITHIN 24 HOURS. Employer must, on this form, notify his insurance carrier of every injury or disease suffered by an employee, fatal or otherwise, which is claimed to arise out of or in the course of employment. ARIZONA REVISED STATUTES 23-908 & 23-1061		<b>INDUSTRIAL COMMISSION OF ARIZONA</b> P.O. BOX 19070 PHOENIX, ARIZONA 85005-9070 MAIL TO: (CARRIER NAME & ADDRESS)		<b>FOR CARRIER USE ONLY</b> FOR OSHA PURPOSES ONLY OSHA Case #: _____ RECORDABLE INJURY _____ NON-RECORDABLE INJURY _____	
<b>EMPLOYEE</b> 1. LAST NAME FIRST M.I. 2. SOCIAL SECURITY NUMBER 3. BIRTH DATE		4. HOME ADDRESS (NUMBER & STREET) CITY STATE ZIP CODE 5. TELEPHONE			
6. SEX <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE 7. MARITAL STATUS <input type="checkbox"/> SINGLE <input type="checkbox"/> MARRIED <input type="checkbox"/> DIVORCED <input type="checkbox"/> WIDOWED					
<b>EMPLOYER</b> 8. EMPLOYER'S NAME 9. POLICY NUMBER 10. NATURE OF BUSINESS (MANUFACTURING, ETC.)		11. OFFICE ADDRESS (NUMBER & STREET) CITY STATE ZIP CODE 12. TELEPHONE			
<b>ACCIDENT</b> 13. DATE OF INJURY OR ILLNESS 14. TIME OF EVENT 15. TIME EMPLOYEE BEGAN WORK 16. DATE EMPLOYER NOTIFIED OF INJURY		17. LAST DAY OF WORK AFTER INJURY 18. DATE OF RETURN TO WORK 19. EMPLOYEE'S OCCUPATION (JOB TITLE) WHEN INJURED			
20. CLASS CODE ON PAYROLL REPORT 21. EMPLOYEE'S ASSIGNED DEPARTMENT 22. DEPARTMENT NUMBER 23. DID INJURY OCCUR ON EMPLOYER PREMISES?		24. ADDRESS OR LOCATION OF ACCIDENT CITY COUNTY STATE ZIP CODE			
25. WHAT WAS THE INJURY OR ILLNESS? Tell us the part of the body that was affected and how it was affected, be more specific than "hurt," "pain," or "sore." Example: "strained back," "chemical burn, hand," "carpal tunnel syndrome."		26. PART OF BODY INJURED 27. FATAL <input type="checkbox"/> YES <input type="checkbox"/> NO 28. IF THE EMPLOYEE DIED, WHEN DID THE DEATH OCCUR? DATE OF DEATH			
29. WAS EMPLOYEE TREATED IN AN EMERGENCY ROOM? <input type="checkbox"/> YES <input type="checkbox"/> NO 30. WAS EMPLOYEE HOSPITALIZED OVERNIGHT AS AN IN-PATIENT? <input type="checkbox"/> YES <input type="checkbox"/> NO 31. IF VALIDITY OF CLAIM IS DOUBTED, STATE REASON		NAME OF PHYSICIAN OR OTHER HEALTH CARE PROFESSIONAL ADDRESS (STREET, CITY, STATE & ZIP CODE) IF HOSPITALIZED, HOSPITAL NAME ADDRESS (STREET, CITY, STATE & ZIP CODE)			
<b>CAUSE OF ACCIDENT</b> 32. WHAT HAPPENED? Tell us how the injury occurred. Examples: "When ladder slipped on wet floor, worker fell 25 feet." "Worker was sprayed with chlorine when gas leak broke during replacement." "Worker developed carpal tunnel in wrist over time."					
33. WHAT OBJECT OR SUBSTANCE DIRECTLY HARMED THE EMPLOYEE? Examples: "concrete floor," "chlorine," "rotal arm saw." If this question does not apply to the incident, leave it blank.					
34. WHAT WAS EMPLOYEE DOING JUST BEFORE THE INCIDENT OCCURRED? Describe the activity, as well as the tools, equipment, or material the employee was using. Be specific. Examples: "Cleaning a ladder while carrying roofing materials"; "spraying chlorine from hand sprayer"; "daily computer key-entry."					
35. IF ANOTHER PERSON NOT IN COMPANY EMPLOY CAUSED ACCIDENT, GIVE NAME AND ADDRESS					
<b>EMPLOYEE'S WAGE DATA</b> 36. WAS WORKER IN YOUR EMPLOY WHEN INJURED? <input type="checkbox"/> YES <input type="checkbox"/> NO 37. HOURS PER DAY EMPLOYEE WORKED FROM A.M. P.M. THRU A.M. P.M. 38. WAS EMPLOYEE ON OVERTIME WHEN INJURED? <input type="checkbox"/> YES <input type="checkbox"/> NO 39. NUMBER OF DAYS PER WEEK USUALLY WORKED EMPLOYEE COMPANY 40. WAS EMPLOYEE HIRED FOR PERMANENT EMPLOYMENT? <input type="checkbox"/> YES <input type="checkbox"/> NO 41. WAS EMPLOYEE FURNISHED <input type="checkbox"/> LODGING <input type="checkbox"/> BOARD <input type="checkbox"/> BOTH \$ 42. DOES EMPLOYEE CLAIM DEPENDENT? <input type="checkbox"/> YES <input type="checkbox"/> NO		43. DATE OF LAST WAGE INCREASE \$ 44. WAGE BEFORE INCREASE \$ 45. WAGE AFTER INCREASE \$ 46. GROSS EARNINGS FROM DATE OF INCREASE THRU DAY PRIOR TO INJURY \$ 47. IF EMPLOYEE WORKED LESS THAN 12 MONTHS, SHOW GROSS WAGES FROM DATE OF HIRE THRU DAY PRIOR TO INJURY \$			
48. DATE OF LAST WAGE INCREASE IF WITHIN 12 MONTHS PRIOR TO INJURY \$ 49. WAGE BEFORE INCREASE \$ 50. GROSS EARNINGS FROM DATE OF INCREASE THRU DAY PRIOR TO INJURY \$		51. DATE OF LAST WAGE INCREASE \$ 52. WAGE BEFORE INCREASE \$ 53. GROSS EARNINGS FROM DATE OF INCREASE THRU DAY PRIOR TO INJURY \$			
AUTHORIZED SIGNATURE DATE AUTHORIZED SIGNATURE TITLE					

# Employers Report of Injury - 101

Employer submits to ICA within 10 days, fatalities within 24 hours  
Does not create legal notification

Available Online



# Workers Report of Injury - 407

Will generate notification when submitted with signature of the Injured Worker

Available Online

\*If the injured worker is being seen via telemedicine for the 1<sup>st</sup> visit, please direct to complete a 407

WORKER'S REPORT OF INJURY			
MAIL TO: Industrial Commission of Arizona, P.O. Box 19070, Phoenix, AZ, 85005-9070			
<small>Copies of the Arizona Workers' Compensation Laws and Arizona Workers' Compensation Practice and Procedure and information about the Industrial Commission of Arizona claims and hearing process are available at the Industrial Commission offices and through the ICA web-site located at: www.ica.state.az.us</small>			
ANSWER ALL QUESTIONS FULLY (Use the back of this form to indicate any further information.)			
1.	NAME OF INJURED WORKER: _____ LAST FIRST M.I.		
	SOCIAL SECURITY # *: _____ BIRTH DATE: _____ PHONE #: ( ) _____		
2.	ADDRESS: _____ CITY STATE ZIP CODE		
3.	MARITAL STATUS: SINGLE <input type="checkbox"/> MARRIED <input type="checkbox"/> DIVORCED <input type="checkbox"/> DEPENDENTS AT TIME OF INJURY: YES <input type="checkbox"/> NO <input type="checkbox"/>		
4.	EMPLOYER'S FULL NAME: _____ PHONE #: _____		
5.	ADDRESS: _____ CITY STATE ZIP CODE		
6.	DATE HIRED: _____ WHERE HIRED: _____ OCCUPATION: _____		
7.	HOURS WORKED PER DAY: _____ PER WEEK: _____ HOURLY WAGE: _____		
8.	DID YOU RECEIVE FOOD OR LODGING IN ADDITION TO WAGE? YES <input type="checkbox"/> NO <input type="checkbox"/>		
9.	DATE OF INJURY (MO/DAY/YEAR): _____ TIME OF INJURY: _____ AM <input type="checkbox"/> PM <input type="checkbox"/>		
10.	ADDRESS OR LOCATION OF ACCIDENT: _____		
11.	DID YOU STOP WORK IMMEDIATELY? _____ WHEN DID YOU STOP? _____		
12.	WHEN DID YOU REPORT THE INJURY? _____ TO WHOM? _____ TITLE: _____		
13.	WHEN DID YOU RETURN TO WORK? _____ REGULAR WORK _____ OTHER WORK _____		
14.	NAMES OF PERSONS WHO SAW THE ACCIDENT.		
	1. NAME: _____ ADDRESS: _____ PHONE #: _____		
	2. NAME: _____ ADDRESS: _____ PHONE #: _____		
15.	WAS ACCIDENT CAUSED BY ANOTHER PERSON? _____ IF SO, BY WHOM? _____		
16.	NAME OF MACHINE OR TOOL WHICH MAY HAVE CAUSED THE ACCIDENT: _____		
17.	STATE HOW ACCIDENT HAPPENED: _____ _____ _____		
18.	BODY PART INJURED: _____ DESCRIBE THE INJURY (CUT, BRUISE, ETC.): _____		
19.	WHERE WERE YOU FIRST TREATED: NAME: _____ ADDRESS: _____		
20.	WHO TREATED YOU FOR THIS INJURY: NAME: _____ ADDRESS: _____		
21.	OTHER THAN THIS INJURY, HAVE YOU LOST TIME FROM WORK DUE TO AN ACCIDENT IN THE PAST 12 MONTHS? YES <input type="checkbox"/> NO <input type="checkbox"/>		
	NAME OF STATE WHERE ACCIDENT HAPPENED: _____ WORK INJURY: YES <input type="checkbox"/> NO <input type="checkbox"/>		
22.	OTHER THAN THIS INJURY, HAVE YOU EVER RECEIVED ANY PERMANENT DISABLING INJURY? YES <input type="checkbox"/> NO <input type="checkbox"/>		
	DATE OF INJURY: _____ WORK INJURY: YES <input type="checkbox"/> NO <input type="checkbox"/>		
	NAME OF STATE WHERE ACCIDENT HAPPENED: _____		
23.	OTHER THAN THIS INJURY, ARE YOU RECEIVING COMPENSATION FOR ANY DISABLING CONDITIONS? YES <input type="checkbox"/> NO <input type="checkbox"/>		
	IF SO, FROM WHOM? _____ AMOUNT? _____ WHY? _____		
<small>I make application for all benefits to which I may be entitled under the law. I certify, with full knowledge that it is a crime to make willful, false statements to obtain compensation and that all of my statements on this form are true, accurate and complete.</small>			
Signature of injured worker or injured worker's authorized representative is REQUIRED. _____			Date _____

# Notification

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21 CALENDAR DAYS TO ACCEPT OR DENY THE CLAIM

INSURANCE MATCHING COMPLETED



# THE INDUSTRIAL COMMISSION OF ARIZONA

## NOTIFICATION OF WORKERS COMPENSATION CLAIMS

08/01/2019

Carrier

21  
Calendar  
Days

You are hereby notified of the following claim(s):

Employer Name/Address	Claimant Name/Address	Social Security Number	Date of Injury	Policy Number	ICA Claim Number
Employer	Claimant				

The first installment of compensation is to be paid or right to compensation denied not later than twenty-one (21) days after 08/01/2019, the date of this written notification, pursuant to A.R.S. 23-1061 and 23-1062. Request for deletions or corrections for claims on this list must be provided to the Commission by separate letter.

Available Online

## Combine – Delete Notification

Something wrong? Correction needed?

Common Issues:

- Wrong spelling
- Wrong employer
- Wrong insured
- Wrong date of injury

If request is denied by the Commission, payer must issue notice accepting or denying the claim.

**ATTENTION: DELETE/COMBINE** fax: (602) 542-3373

ICA Notification Date: \_\_\_\_\_

ICA Claim Number: \_\_\_\_\_

Name of Injured worker: \_\_\_\_\_

Date of Injury: \_\_\_\_\_ Social security number: \_\_\_\_\_

**REQUESTING DELETION OF NOTIFICATION FOR THE FOLLOWING REASON(S):**

☐ No coverage for this insured: \_\_\_\_\_

Policy # \_\_\_\_\_ Expired/Canceled on: \_\_\_\_\_

We believe the correct insurance carrier is: \_\_\_\_\_

☐ Duplicate notification (see below)

Other: \_\_\_\_\_

**DUPLICATE NOTIFICATION: Please combine the above file with the file below:**

ICA Notification Date: \_\_\_\_\_

ICA Claim Number: \_\_\_\_\_

Claimant name: \_\_\_\_\_

Date of Injury: \_\_\_\_\_

**REQUESTING THE FOLLOWING CHANGE(S) AND/OR CORRECTION(S)**

Name of Injured worker: \_\_\_\_\_

Date of Injury: \_\_\_\_\_

Social security number: \_\_\_\_\_

Other: \_\_\_\_\_

**FROM:** (Carrier or tpa) \_\_\_\_\_

Signature \_\_\_\_\_ Phone: \_\_\_\_\_

# What happens if you deny after 21 days?

---

23-1061(M) PENALTIES

THE CARRIER SHALL PAY IMMEDIATELY COMPENSATION AS IF THE CLAIM WERE ACCEPTED, FROM THE DATE THE CARRIER IS NOTIFIED...

When is 1<sup>st</sup> compensation due on a  
Temporary Disability Claim?

---

WITHIN 21 DAYS FROM ICA NOTIFICATION DATE



# How to Accept or Deny a Claim

---

# Claim Processes

---

Adjuster issues notices to interested parties to provide notification of changes in the status and protest periods.

Copies go to all interested parties



- Injured Worker (or attorney)
- Employer
- Insurance Company
- Industrial Commission of Arizona
- Optional & Recommended: Medical Provider

# Notice of Claim Status - 104

Changes in claim status require the adjuster to issue appropriate notice to ALL interested parties.

104 is used for MOST status changes.

<input type="checkbox"/>	1. Claim is accepted.
<input type="checkbox"/>	2. Claim is denied.
<input type="checkbox"/>	3. No temporary compensation paid because the claimant has not currently sustained a temporary disability entitlement attributable to this injury beyond seven consecutive days.
<input type="checkbox"/>	4. Enclosed check for _____ for period of _____ through _____. Seven days deducted if disability is less than 14 calendar days. Payment has been made based on 66 ⅔ percent of the wage of _____ based on the following: <input type="checkbox"/> A. Statutory minimum or estimated monthly wage pending determination of Average Monthly Wage within 30 days. <input type="checkbox"/> B. Average monthly wage at time of injury (see attached calculation), subject to final determination by the Industrial Commission of Arizona within 30 days.
<input type="checkbox"/>	5. Return to light duty effective _____. Per A.R.S. §23-1044(A) and A.R.S. §23-1062(D) benefits are payable at least monthly. Return to regular duty effective _____.
<input type="checkbox"/>	6. Temporary compensation and active medical treatment terminated on _____ because claimant was discharged.
<input type="checkbox"/>	7. Injury resulted in no permanent disability.
<input type="checkbox"/>	8. Injury resulted in permanent disability. Amount of permanent benefits, if any, and supportive medical maintenance benefits, if any, will be authorized by separate Notice.
<input type="checkbox"/>	9. Petition to Reopen accepted.
<input type="checkbox"/>	10. Petition to Reopen denied.
<input type="checkbox"/>	11. Other: <div style="border: 1px solid black; height: 60px; width: 100%;"></div>

- 
- 
- ☐ 1. Claim is accepted.
- ☒ 2. Claim is denied.
- ☐ 3. No temporary compensation paid because the claimant has not currently sustained a temporary disability entitlement attributable to this injury beyond seven consecutive days.
- ☐ 4. Enclosed check for \$\_\_\_\_\_ for period of \_\_\_\_\_ through \_\_\_\_\_. Seven days deducted if disability is less than 14 calendar days. Payment has been made based on 66 2/3 percent of the wage of \$\_\_\_\_\_ based on the following:
- ☐ A. Statutory minimum or estimated monthly wage pending determination of Average Monthly Wage within 30 days.
- ☐ B. Average monthly wage at time of injury (see attached calculation), subject to final determination by the Industrial Commission of Arizona within 30 days.
- ☐ 5. Return to light duty effective \_\_\_\_\_. Per A.R.S. §23-1044(A) and A.R.S. §23-1063(D) benefits are payable at least monthly. Return to regular duty effective \_\_\_\_\_.
- ☐ 6. Temporary compensation and active medical treatment terminated on \_\_\_\_\_ because claimant was discharged.
- ☐ 7. Injury resulted in no permanent disability.
- ☐ 8. Injury resulted in permanent disability. Amount of permanent benefits, if any, and supportive medical maintenance benefits, if any, will be authorized by separate Notice.
- ☐ 9. Petition to Reopen accepted.
- ☐ 10. Petition to Reopen denied.
- ☐ 11. Other: \_\_\_\_\_


# Denying a claim

## RECOMMENDED LANGUAGE FOR #11 FOR APPLICABLE SCENARIOS

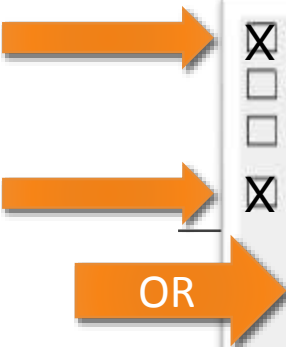
- NO COVERAGE ON DATE OF INJURY/WRONG CARRIER OF THE EMPLOYER
- NOT OUR INSURED
- DENIED AFTER 21 DAYS, COMMENT: PAYING PENALTY BENEFITS PER 23-1061(M)

**NOT OK: NOT THE TPA. WORK WITH YOUR CARRIER TO DIRECT THE MAIL TO CORRECT TPA SO A DECISION CAN BE MADE TIMELY.**

## MEDICAL ONLY

- 
- ☒ 1. Claim is accepted.
  - ☐ 2. Claim is denied.
  - ☒ 3. No temporary compensation paid because the claimant has not currently sustained a temporary disability entitlement attributable to this injury beyond seven consecutive days.
  - ☐ 4. Enclosed check for \$\_\_\_\_\_ for period of \_\_\_\_\_ through \_\_\_\_\_. Seven days deducted if disability is less than 14 calendar days. Payment has been made based on 66 2/3 percent of the wage of \$\_\_\_\_\_ based on the following:
    - ☐ A. Statutory minimum or estimated monthly wage pending determination of Average Monthly Wage within 30 days.
    - ☐ B. Average monthly wage at time of injury (see attached calculation), subject to final determination by the Industrial Commission of Arizona within 30 days.
  - ☐ 5. Return to light duty effective \_\_\_\_\_. Per A.R.S. §23-1044(A) and A.R.S. §23-1062(D) benefits are payable at least monthly. Return to regular duty effective \_\_\_\_\_.
  - ☐ 6. Temporary compensation and active medical treatment terminated on \_\_\_\_\_ because claimant was discharged.
  - ☐ 7. Injury resulted in no permanent disability.

## Time Loss

- 
- ☒ 1. Claim is accepted.
  - ☐ 2. Claim is denied.
  - ☐ 3. No temporary compensation paid because the claimant has not currently sustained a temporary disability entitlement attributable to this injury beyond seven consecutive days.
  - ☒ 4. Enclosed check for \$\_\_\_\_\_ for period of \_\_\_\_\_ through \_\_\_\_\_. Seven days deducted if disability is less than 14 calendar days. Payment has been made based on 66 2/3 percent of the wage of \$\_\_\_\_\_ based on the following:
    - ☒ A. Statutory minimum or estimated monthly wage pending determination of Average Monthly Wage within 30 days.
    - ☒ B. Average monthly wage at time of injury (see attached calculation), subject to final determination by the Industrial Commission of Arizona within 30 days.
  - ☐ 5. Return to light duty effective \_\_\_\_\_. Per A.R.S. §23-1044(A) and A.R.S. §23-1062(D) benefits are payable at least monthly. Return to regular duty effective \_\_\_\_\_.
  - ☐ 6. Temporary compensation and active medical treatment terminated on \_\_\_\_\_ because claimant was discharged.
  - ☐ 7. Injury resulted in no permanent disability.

#1 Solicit  
Reason:  
108 without a  
104 4B.

# Accepting a claim

Temporary Total  
Disability (TTD)

VS.

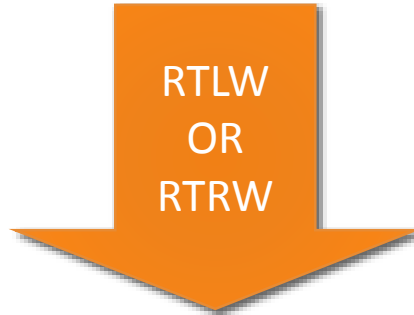
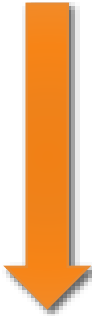
Temporary Partial  
Disability (TPD)

## TTD – No Work

- 7 day waiting period, retroactive to the first day on the 14<sup>th</sup> day
- Payable every 14 days.
- Includes Dependent benefit of \$25.00 (legal spouse or children).
- Accepting a claim with a 1 & 4 (a or b) is assumed to be off work. Changing to TPD requires a 104 #5.

## TPD – Light Duty

- 7 day waiting period applies & retroactive effect applies.
- Able to take credit for earnings & unemployment
- Payable every 30 days
- Dependent benefit does not apply
- Accepting a claim with a 1 & 4 (a or b) is assumed to be off work. TPD requires a 104 #5.



5. Return to light duty effective RTW Date. Per A.R.S. §23-1044(A) and A.R.S. §23-1062(D) benefits are payable at least monthly.

Return to regular duty effective RTW Date.

# Change of work status

- 
- MUST INCLUDE SUPPORTING MEDICAL RECORD

# Claim Closure

---

DISCHARGE FROM ACTIVE CARE





6. Temporary compensation and active medical treatment terminated on because claimant was discharged.

**Termination Date**



7. Injury resulted in no permanent disability.



8. Injury resulted in permanent disability. Amount of permanent benefits, if any, and supportive medical maintenance benefits, if any, will be authorized by separate Notice.



9. Petition to Reopen accepted.



10. Petition to Reopen denied.



11. Other: **MO or Medical Only**

Medical Only

Does not require  
supporting  
medical  
documents



6. Temporary compensation and active medical treatment terminated on because claimant was discharged.

**Termination Date**



7. Injury resulted in no permanent disability.



8. Injury resulted in permanent disability. Amount of permanent benefits, if any, and supportive medical maintenance benefits, if any, will be authorized by separate Notice.

Time Loss

Without  
Permanent  
Impairment



6. Temporary compensation and active medical treatment terminated on because claimant was discharged.

**Termination Date**



7. Injury resulted in no permanent disability.



8. Injury resulted in permanent disability. Amount of permanent benefits, if any, and supportive medical maintenance benefits, if any, will be authorized by separate Notice.

With  
Permanent  
Impairment

Does require  
supporting  
medical  
documents

# Terminating Active Benefits

**advise the date of your next appointment.**

**If we do not hear from you within 20 days, we will proceed to close your claim. Please complete and sign as indicated below.**

**\_\_\_\_ I am in need of further treatment. The date of my next appointment is: \_\_\_\_\_.**

## Administrative Closure Option

Sample in Forms

Be sure your version is FACTUAL.

# Double Duty Notices

Accept & Close  
on the same  
notice!

- ☒ 1. Claim is accepted.
- ☐ 2. Claim is denied.
- ☒ 3. No temporary compensation paid because the claimant has not currently sustained a temporary disability entitlement attributable to this injury beyond seven consecutive days.
- ☐ 4. Enclosed check for \$\_\_\_\_\_ for period of \_\_\_\_\_ through \_\_\_\_\_. Seven days deducted if disability is less than 14 calendar days. Payment has been made based on 66 ⅔ percent of the wage of \$\_\_\_\_\_ based on the following:
- ☐ A. Statutory minimum or estimated monthly wage pending determination of Average Monthly Wage within 30 days.
- ☐ B. Average monthly wage at time of injury (see attached calculation), subject to final determination by the Industrial Commission of Arizona within 30 days.
- ☐ 5. Return to light duty effective \_\_\_\_\_. Per A.R.S. §23-1044(A) and A.R.S. §23-1063(D) benefits are payable at least monthly. Return to regular duty effective \_\_\_\_\_.
- ☒ 6. Temporary compensation and active medical treatment terminated on **Termination Date** because claimant was discharged.
- ☒ 7. Injury resulted in no permanent disability.
- ☐ 8. Injury resulted in permanent disability. Amount of permanent benefits, if any, and supportive medical maintenance benefits, if any, will be authorized by separate Notice.
- ☐ 9. Petition to Reopen accepted.
- ☐ 10. Petition to Reopen denied.
- ☐ 11. Other:

MAILED ON: \_\_\_\_\_

BY: \_\_\_\_\_

(Authorized Representative) Tel. # \_\_\_\_\_

Copy to: Industrial Commission of Arizona

The insurance carrier/employer will, upon request, provide claimant a copy of the medical report to support Findings 5, 6, 7 or 8.

# Other important rule for notices!

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## R5-20-118(A)

- A change in a claimant's status in an accepted claim cannot have a retroactive effect more than 30 days.

\*This subsection does not apply if the acceptance is not final, a subsequent notice that affects death benefits, and the Commission can relieve a carrier or self-insured employer from a strict application of this subsection for good cause.

# Supportive Medical Maintenance Benefits

- Form 103
- Note NEW FORM!
- Be specific!

NOTICE OF SUPPORTIVE MEDICAL MAINTENANCE BENEFITS	
Carrier or Self-Insured Name and Address	ICA Claim No. _____ Soc. Sec. No. _____ Carrier Claim No. _____ Employer _____ Address _____ Date Injured _____
Authorized Third Party Administrator (TFA) Name and Address	
Claimant's Name and Address	

SUPPORTIVE MEDICAL MAINTENANCE BENEFITS ARE AUTHORIZED BY THE ABOVE-NAMED INSURANCE CARRIER AS SET FORTH BELOW WHILE THE NEED FOR SUCH SUPPORTIVE CARE CONTINUES OR UNTIL FURTHER NOTICE.

Supportive Medical Maintenance:

Treating Physician(s): \_\_\_\_\_

Duration of Supportive Medical Maintenance: \_\_\_\_\_

IF CONDITION WORSENS REQUIRING ACTIVE MEDICAL CARE, A PETITION TO REOPEN MUST BE FILED WITH THE INDUSTRIAL COMMISSION, A.R.S. 23-1061(H).

MAILED ON: \_\_\_\_\_ BY: \_\_\_\_\_  
(Authorized Representative) Tel. # \_\_\_\_\_

Copy to: Industrial Commission of Arizona

NOTICE TO CLAIMANT: If you do not agree with this NOTICE or wish to have the Commission investigate and review the benefits provided in this NOTICE, you must file a request for investigation under A.R.S. 23-1061(J) with either office of the Industrial Commission listed below. A request for investigation seeking review of a Notice of Supportive Medical Maintenance Benefits may be filed at any time under A.R.S. 23-1061(J).

# Change of Doctors

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ONLY LIMITED SELF-INSURED MAY DIRECT CARE

PAYER CAN DIRECT CARE 1 TIME & REQUEST IME FROM TIME TO TIME

ADJUSTER CAN AUTHORIZE WITHOUT GOING THROUGH ICA

YES WE WANT YOUR OPINION

# Change of Doctors

<b>THE INDUSTRIAL COMMISSION OF ARIZONA CLAIMS DIVISION</b>		
P.O. BOX 19079 PHOENIX, ARIZONA 85005-0079		
<b><u>REQUEST TO CHANGE DOCTORS</u></b>		INJURED WORKER (First, Last): ICA CLAIM#: DATE OF INJURY: CARRIER CLAIM #: SOCIAL SECURITY #:
<p>PLEASE MAKE SURE TO PROVIDE THE COMPLETE NAME, ADDRESS AND TELEPHONE NUMBER OF BOTH DOCTORS IN THE SPACE PROVIDED BELOW. FAILURE TO PROVIDE THIS INFORMATION MAY CAUSE A DELAY IN PROCESSING. IN ADDITION, MAKE SURE THE DOCTOR YOU ARE REQUESTING TO CHANGE TO IS WILLING TO PROVIDE YOU WITH MEDICAL CARE UNDER YOUR INDUSTRIAL CLAIM. YOU MUST SIGN THIS REQUEST.</p>		
REASON FOR REQUESTING CHANGE OF DOCTORS:		
<b>FROM:</b> DOCTOR'S COMPLETE NAME, ADDRESS AND TELEPHONE NUMBER:	<b>TO:</b> DOCTOR'S COMPLETE NAME, ADDRESS AND TELEPHONE NUMBER:	
Doctor's Name	Doctor's Name	
Address	Address	
City State Zip Code	City State Zip Code	
PHONE #:	PHONE #:	
✓ INJURED WORKER'S SIGNATURE		DATE:
Submitter Email Address		
INJURED WORKER Address City State Zip Code		INJURED WORKER Phone #

\* The mandatory requirement that the social security number be included in forms filed with the Claims Division or Special Fund Division of the Industrial Commission of Arizona is provided by Section 24-203 of the Public Access Act of 1974. Inclusion of the carrier's name, as required under the Commission's Rules in actions prior to January 1, 1975, required disclosure of the social security number. The number is used as a means of identifying all the various records in the Claims Division or Special Fund Division. The use of social security numbers in such records, because of the large number of persons who have similar names and birth dates, and whose identities can only be distinguished by the social security number.

Claims ICA 0121-Rev 07.01.13

<b>BEFORE THE INDUSTRIAL COMMISSION OF ARIZONA</b> P.O. BOX 19079 PHOENIX, ARIZONA 85005	
<div style="border: 1px solid black; height: 40px; margin-bottom: 5px;"></div> <div style="border: 1px solid black; height: 40px; margin-bottom: 5px; text-align: center;">Applicant</div> <div style="text-align: center; margin-bottom: 5px;">VS.</div> <div style="border: 1px solid black; height: 40px; margin-bottom: 5px; text-align: center;">Defendant Employer</div> <div style="border: 1px solid black; height: 40px; margin-bottom: 5px; text-align: center;">Defendant Insurance Carrier</div>	ICA Case No:  Carrier Claim No:  Date of Injury:  <b>FINDINGS AND AWARD REGARDING CHANGE OF DOCTORS</b>
<b><u>FINDINGS</u></b>	
Applicant sustained a compensable injury by accident arising out of and in the course of employment on	
Applicant has been under the care of for the injury.	
On a written request was filed with this Commission that the applicant be permitted to change to	
<b><u>AWARD</u></b>	
As authorized by A.R.S. 23-1071 the request for applicant to change to is approved effective as of	
If you do not agree with this award and wish a hearing, then your written request for hearing must be received in either office of The Industrial Commission of Arizona within TEN (10) DAYS from the date of this award pursuant to A.R.S. 23-941 and 23-947. IF NO SUCH REQUEST FOR HEARING IS RECEIVED WITHIN THAT TEN (10) DAY PERIOD, THIS AWARD IS FINAL.	
Dated at Phoenix, Arizona	The Industrial Commission of Arizona
	By: _____ Special Assistant

# Request to Leave the State

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UNDER ACTIVE BENEFITS, THE INJURED WORKER MUST REQUEST APPROVAL TO LEAVE THE STATE IF ABSENT MORE THAN 14 DAYS.

NOT REQUIRED IF CLAIM IS CLOSED



## REQUEST TO LEAVE THE STATE

INJURED WORKER (First, Last):

ICA CLAIM#:

DATE OF INJURY:

CARRIER CLAIM #:

SOCIAL SECURITY #

PLEASE, BEFORE MAILING MAKE SURE THAT THE FORM IS FILLED OUT COMPLETELY INCLUDING YOUR SIGNATURE THIS WILL HELP US PROCESS YOUR REQUEST MORE EFFICIENTLY.

REASON FOR REQUESTING TO LEAVE THE STATE:

LEAVING ON:

RETURNING ON:

OUT OF STATE ADDRESS

ATTENDING PHYSICIAN

Address

City

State

Zip Code

PHONE #:

✓ INJURED WORKER'S SIGNATURE

Submitter Email Address

INJURED WORKER Address

City

State

Zip Code

INJURED WORKER Phone #

\* The mandatory requirement that the social security number be included in forms filed with the Claims Division or Special Fund Division of the Industrial Commission of Arizona is provided by Section 704(2)(D) of the Federal Privacy Act of 1974, because the Commission's forms, prescribed under the Commission's Rules in existence prior to January 1, 1975, required disclosure of the social security number. The number is used as a means of identifying all the various records in the Claims Division or Special Fund pertaining to an individual. The use of social security numbers is made necessary because of the large number of persons who have similar names and birth dates, and whose identities can only be distinguished by the social security number.

## BEFORE THE INDUSTRIAL COMMISSION OF ARIZONA

P.O. BOX 19070

PHOENIX, ARIZONA 85005

Applicant,

VS.

Defendant Employer,

Defendant Insurance Carrier,

ICA Case No:

Carrier Claim No:

Date of Injury:

**FINDINGS AND AWARD REGARDING  
LEAVE THE STATE**

### FINDINGS

Applicant sustained a compensable injury by accident arising out of and in the course of employment on DATE.

On DATE a written request was filed with the Commission for the applicant to leave the State of Arizona indefinitely to reside in CITY AND STATE.

### AWARD

As authorized by A.R.S. 23-1071 the request for applicant to leave the State of Arizona, while under the care of a qualified physician, is approved, effective as of DATE.

The carrier shall not be liable for the payment of medical expenses in relation to the injury of DATE during applicant's absence from the State of Arizona in excess of that provided by the Arizona Medical Fee Schedule or as approved by the carrier.

The applicant shall return to the State of Arizona once a year if directed by the carrier. The carrier shall pay, in advance, reasonable travel costs including transportation, food, lodging and loss of pay.

If you do not agree with this award and wish a hearing, then your written request for hearing must be received in either office of The Industrial Commission of Arizona within TEN (10) DAYS from the date of this award pursuant to A.R.S. 23-941 and 23-947. IF NO SUCH REQUEST FOR HEARING IS RECEIVED WITHIN THAT TEN (10) DAY PERIOD, THIS AWARD IS FINAL.

Dated at Phoenix, Arizona

The Industrial Commission of Arizona

DATE

By: \_\_\_\_\_  
Special Assistant

# Suspension of Benefits

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FORM 105

# Suspending Benefits

## NOTICE OF SUSPENSION OF BENEFITS

Carrier or Self-Insured Name and Address	ICA Claim No. _____
	Soc. Sec. No. _____
Authorized Third Party Administrator Name and Address	Carrier Claim No. _____
	Employer _____
Claimant's Name and Address	Address _____
	Date Injured _____

All compensation and medical payment benefits suspended by the above-named insurance carrier effective \_\_\_\_\_ because claimant:

- ☐ 1. Left the State of Arizona without the written approval of the Industrial Commission of Arizona.
- ☐ 2. Refused to submit to obstructed a medical examination.
- ☐ 3. Failed to submit a required annual report of income.
- ☐ All compensation benefits suspended by the above-named insurance carrier effective \_\_\_\_\_ because claimant is incarcerated. Medical benefits will continue. Any court-ordered child support payments are to continue.

**\*Incarcerated? Indemnity benefits only are suspended. Child Support Continues**

Mailed On: \_\_\_\_\_ By: \_\_\_\_\_

Copy to: Industrial Commission of Arizona (Authorized Representative) Tel. #: \_\_\_\_\_

**NOTICE TO CLAIMANT:** If you do not agree with this NOTICE and wish a hearing on the matter, your written Request for Hearing must be received at either office of the Industrial Commission listed below within NINETY (90) DAYS after the date of mailing of this Notice, pursuant to A.R.S. 23-941 and 23-947. IF NO SUCH APPLICATION IS RECEIVED WITHIN THAT NINETY DAY PERIOD, THIS NOTICE IS FINAL.

- Return to regular duty effective \_\_\_\_\_.
- ☐ 6. Temporary compensation and active medical treatment terminated on \_\_\_\_\_ because claimant was discharged.
  - ☐ 7. Injury resulted in no permanent disability.
  - ☐ 8. Injury resulted in permanent disability. Amount of permanent benefits, if any, and supportive medical maintenance benefits, any, will be authorized by separate Notice.
  - ☐ 9. Petition to Reopen accepted.
  - ☐ 10. Petition to Reopen denied.

 ☒ 11. Other: **Benefits reinstated effective MM/DD/YYYY**

MAILED ON: \_\_\_\_\_ BY: \_\_\_\_\_

Copy to: Industrial Commission of Arizona (Authorized Representative) Tel. #: \_\_\_\_\_

The insurance carrier/employer will, upon request, provide claimant a copy of the medical report to support Findings 5, 6, 7 or 8.

# When compliant with terms of suspension

## Reinstate Benefits

# Petition to Reopen

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LIFETIME RIGHT TO PETITION TO REOPEN A CLAIM FOR ACTIVE BENEFITS\*

\*UNLESS SETTLED FULL & FINAL

Injured worker (or representative) returns signed, dated with medical supporting the request.

# INDUSTRIAL COMMISSION OF ARIZONA

## PETITION TO REOPEN BASED ON NEW, ADDITIONAL OR PREVIOUSLY UNDISCOVERED DISABILITY OR CONDITION

**IMPORTANT:** This completed form must be filed at an Industrial Commission of Arizona (ICA) office. (See addresses below.) The form must be accompanied by a current medical report supporting the reopening of the claim.

Copies of the Arizona Workers' Compensation Laws and Arizona Workers' Compensation Practice and Procedure and information about the ICA claims and hearing process are available at the ICA offices and through the ICA web-site located at: [www.ica.state.az.us](http://www.ica.state.az.us)

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**Injured Worker**

vs.

**Defendant Employer**

**Defendant Insurance Carrier**

**Social Security No. \***

**Date of Injury:**

**ICA Claim No.:**

**Ins. Carrier Claim No.:**

---

Reopening is requested based on the new, additional or previously undiscovered disability or condition listed below related to this claim:

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1. Check one of the following:

☐ Attached is a medical report to support this Petition to Reopen.

or

☐ Dr. \_\_\_\_\_ will submit a report to support this Petition to Reopen.

2. The following physicians have examined or treated me within the past two years for the conditions listed:

DOCTOR'S NAME	ADDRESS	CONDITION AND DATE OF TREATMENT
A.		
B.		

3. I have worked for the following employers within the past two years.

NAME	ADDRESS	JOB DESCRIPTION
A.		
B.		

I have read this Petition to Reopen and the information contained is true and correct to the best of my knowledge.

---

**Signature of person or the person's authorized representative requesting reopening is REQUIRED.**

\_\_\_\_\_

**Address**

\_\_\_\_\_

**City** \_\_\_\_\_ **State** \_\_\_\_\_ **Zip** \_\_\_\_\_

**Date**

\_\_\_\_\_

**Telephone No.**

\_\_\_\_\_

---

**Phoenix:**  
**Mailing address:**

**Industrial Commission of Arizona**  
**P.O. Box 19070**  
**Phoenix, Arizona 85005-9070**

**Street Address:** **800 W. Washington Street**  
**Phoenix, Arizona 85007-2922**

**Tucson:**  
**Office:**

**Industrial Commission of Arizona**  
**2675 E. Broadway**  
**Tucson, Arizona 85718-5342**

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\* The mandatory requirement that the social security number be included in forms filed with the Claims Division or Special Fund Division of the Industrial Commission of Arizona is permitted by Section 7(a)(2)(B) of the Federal Privacy Act of 1974, because the Commission's forms, prescribed under the Commission's Rules in existence prior to January 1, 1975, required disclosure of the social security number. The number is used as a means of identifying all the various records in the Claims Division or Special Fund pertaining to an individual. The use of social security numbers is made necessary because of the large number of persons who have similar names and birth dates, and whose identities can only be distinguished by the social security number.

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### MEDICAL AUTHORIZATION

By this medical authorization or reproduction, I authorize and request each physician and person in the medical or related fields and each hospital, clinic, establishment or place rendering me any medical or related service to allow The Industrial Commission of Arizona or its authorized representative, my employer or its insurance carrier and each person and physician appointed by them to have, examine and/or copy any and all information, records and X-rays, regarding my physical condition and treatment.

---

**Signature of person or the person's authorized representative requesting reopening.**

\_\_\_\_\_

**Address**

\_\_\_\_\_

**City** \_\_\_\_\_ **State** \_\_\_\_\_ **Zip** \_\_\_\_\_

**Date**

\_\_\_\_\_

**Telephone No.**

\_\_\_\_\_

# Notification

- 21 Days to accept or deny
- 1061(M) Penalty benefits are payable if denied late
- Accept or Deny on 104

## THE INDUSTRIAL COMMISSION OF ARIZONA



### CLAIMS DIVISION

P.O. BOX 19070  
PHOENIX, ARIZONA 85005

DALE L. SCHULTZ, CHAIRMAN  
JOSEPH M. HENNELLY, JR., VICE CHAIR  
SCOTT P. LeMARR, MEMBER  
ROBIN S. ORCHARD, MEMBER  
STEVEN J. KRENZEL, MEMBER

JAMES ASHLEY, DIRECTOR

Claims Division: (602) 542-4661  
Claims Division Fax: (602) 542-3373

DATE

CARRIER NAME  
CARRIER ADDRESS

### NOTIFICATION OF PETITION TO REOPEN

Re: Injured Worker:  
ICA Case No:  
Date of Injury:  
Employer:  
Carrier Claim No:

Attached is a copy of the Petition to Reopen filed on

You are required to inform this Commission and the injured worker of your acceptance or denial of the petition within TWENTY-ONE DAYS from the date of this notification in accordance with A.R.S. 23-1061-I.

- ☐ 9. Petition to Reopen accepted.
- ☐ 10. Petition to Reopen denied.
- ☐ 11. Order: **Paying penalty benefits pursuant to A.R.S. § 23-1061(M)**

MAILED ON

BY

(Arbitrator Representative) Tel #

Copy to: Industrial Commission of Arizona

The insurer may, if requested, provide claimant a copy of the medical report or report Form 2, 6, 7 or 8.

The Claims Division  
Compliance Section

Copy of Petition to Reopen  
Copy of medical report

Copy: CLAIMANT

# ICA Solicitation

REQUEST TO CORRECT A NOTICE ISSUED

---

GOOD FAITH LEGAL OBLIGATION TO RESPOND TIMELY

DO: RESPOND OR UPLOAD A RESPONSE

DO: INCLUDE A ICA CLAIM #

DO NOT: IF YOU GET A 2<sup>ND</sup> SOLICIT....



# THE INDUSTRIAL COMMISSION OF ARIZONA

## CLAIMS DIVISION



DALE L. SCHULTZ, CHAIRMAN  
JOSEPH M. HENNELLY, JR., VICE CHAIR  
SCOTT P. LAMARR, MEMBER  
ROBIN S. ORCHARD, MEMBER  
STEVEN J. KRENZEL, MEMBER

P.O. BOX 19070  
PHOENIX, ARIZONA 85005

DATE

Claims Division: (802) 542-4681  
Claims Division Fax: (802) 542-3373

JAMES ASHLEY, DIRECTOR

CARRIER NAME  
CARRIER ADDRESS

Re: Injured Worker:  
ICA Case No:  
Date of Injury:  
Employer:  
Carrier Claim No.

REFER TO ITEM(S) CHECKED BELOW. YOUR RESPONSE IS REQUIRED WITHIN 14 DAYS. REPLY WITH YOUR REPLY. FAILURE TO RESPOND WITHIN 14 DAYS COULD RESULT IN THE PURSUING AN ALLEGATION OF BAD FAITH.

- ☐ Issue amended NCS to include authorized signature.
- ☐ Issue Amended NCS to include date on which NCS was issued.
- ☐ Issue amended NCS checking #3(NTL) or #4 (TL). If time loss, be sure to provide wage calculation sheet (108).
- ☐ Issue amended NCS checking #5 and provide the return to work date.
- ☐ Issue amended NCS checking #6 and provide date of termination of benefits.
- ☐ Issue amended NCS checking #7 (no perm.) or #8 (perm.). If permanent, be sure to issue Notice of Permanent Disability (106 or 107).
- ☐ Issue Notice of Permanent Disability (106 or 107).
- ☐ Issue amended 106. Notice should read \_\_\_\_\_% impairment to the \_\_\_\_\_  
Payment should be made at \_\_\_\_\_% of the AMW for \_\_\_\_\_ months. Total amount of award is \$ \_\_\_\_\_
- ☐ Issue amended 107. Check box # \_\_\_\_\_
- ☐ You are requesting apportionment pursuant to A.R.S. 23-1065(B). Please submit copies of 106 for the prior injury.
- ☐ Issue Notice of Supportive Medical Maintenance Benefits.
- ☐ Issue amended NCS termination/return to work date can not exceed 30 days prior to the date the NCS was mailed. (See R20-5-118A.)
- ☐ A.R.S. 23-1061M violation. Issue NCS either accepting or denying the claim. If time-lost claim, set out penalty benefits.
- ☐ Submit medical documentation to support Notice issued on
- ☐ Other:

Respond within 14 DAYS!

## 2<sup>nd</sup> Warning

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Dear Insurance Company,

Attached is a copy of a solicitation letter for which the Commission has received no response. Please review your records and provide a response or if a notice or response was sent, please provide a copy. Please remember in order to amend a notice you must issue a new notice.

To file your response upload a copy of the solicitation letter and your response as one document and select the Doc Type 'Returned Solicit' or 'Returned Wage Solicit', whichever one applies, using the Industrial Commission of Arizona Community Portal at <https://azicawc.force.com/claims/s/>. If you have not yet registered you will be required to do so in order to upload your response.

Please be advised that if we do not receive a response to the solicitation letter within fourteen (14) calendar days from the date of this letter, the Industrial Commission will conduct a bad faith investigation on our own motion pursuant to A.R.S. §23-930(C) and R20-5-163(B)(3) which could result in a \$1000.00 civil penalty per violation. If you have any questions please do not hesitate to contact me.



Respond  
within 14  
DAYS!

# Bad Faith Allegations

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COMES NOW the Industrial Commission of Arizona, and on its own motion under A.R.S. Section §23-930 (A) alleges that Indemnity Ins Company of North America has committed bad faith and/or unfair claim processing practices in the handling of the above workers compensation claim and incorporated herein by reference. The specific actions which violate A.A.C. R20-5-163 are as follows:

---

**Pursuant to A.A.C. Sec. R20-5-163, your response to these allegations is required in this office within thirty (30) days.**

This constitutes the Industrial Commission's Notice of investigation and, if appropriate thereafter the Commission will order penalty benefits under the provisions of A.R.S. §23-930.

I have this date mailed a copy of this notice to the person or entity named in the complaint.

---

THE INDUSTRIAL COMMISSION OF ARIZONA



Respond  
within 30  
DAYS!

# Changing a notice

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AMEND: TO CHANGE

RESCIND: TO REVOKE OR CANCEL

# Amending a 104

☐ 1. Claim is accepted.

☐ 2. Claim is denied.

☐ 3. No temporary compensation paid because the claimant has not currently sustained a temporary disability entitlement attributable to this injury beyond seven consecutive days.

☐ 4. Enclosed check for \$ \_\_\_\_\_ for period of \_\_\_\_\_ through \_\_\_\_\_. Seven days deducted if disability is less than 14 calendar days. Payment has been made based on 66 2/3 percent of the wage of \$ \_\_\_\_\_ based on the following:

☐ A. Statutory minimum or estimated monthly wage pending determination of Average Monthly Wage within 30 days.

☐ B. Average monthly wage at time of injury (see attached calculation), subject to final determination by the Industrial Commission of Arizona within 30 days.

☐ 5. Return to light duty effective \_\_\_\_\_. Per A.R.S. §23-1044(A) and A.R.S. §23-1063(D) benefits are payable at least monthly. Return to regular duty effective \_\_\_\_\_.

☒ 6. Temporary compensation and active medical treatment terminated on July 16, YYYY because claimant was discharged.

☐ 7. Injury resulted in no permanent disability.

☐ 8. Injury resulted in permanent disability. If permanent disability is found, and supportive medical maintenance benefits, if any, will be authorized by separate NCS.

☐ 9. Petition to Reopen accepted.

☐ 10. Petition to Reopen denied.

☒ 11. Other: Amending NCS of 08/15/YYYY by changing date on #6 above to comply with Rule R20-5-118. Actual date of discharge was 06/05/YYYY

MAILED ON: September 5, YYYY BY: \_\_\_\_\_

Copy to: \_\_\_\_\_ (Authorized Representative) Tel #: \_\_\_\_\_

The insurer shall provide claimant a copy of the medical report to support Findings 5, 6, 7 or 8.

Original  
Notice Date

Corrected Info

Statement Correcting Info

Correction

# Our most common reasons for Solicitations

---

Mail notice on 'Mailed On' date and/or full name of adjuster.

Attach supporting documentation when changing the claim status (include ICA Claim#)

- Closing for active benefits
- Release to light duty work from no work
- Release to regular work from light duty
- *Supporting Documentation is not required on Medical Only/No Time Loss claims*

# Our most common reasons for Solicitations, part 2

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A 108 without a 104 with 4B checked

A Permanent Impairment Claim (usually with no time loss) Facial, Scheduled or Unscheduled claim without setting the average monthly wage

Not issuing an 104 Accepting the claim for benefits when notified

- We did not get the original, nor did we get a reply to solicitations because adjuster assumes we got the original (hint: we do not issue follow up solicits if we knowingly have a response)
- Different Dates of Injury
- Difficult investigations, employers not participating
- Delays in Carrier/TPA communications

Effective date cannot be greater than 30 days prior (Rule 5-20-118)

A toll-free number must be listed when processing is outside of Arizona

Notice issued by a TPA/Insurance group must list Self-Insured Employer or Insurance Company

# We can Help!

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Call: 602-542-4661

Email: Claims @azica.gov





# Questions?

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**THANK YOU FOR JOINING US!**